



Attorney Docket No. 69971

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Willebrand, Heinz

} Express Mail Label No.: EV 410721666 US

Application No.: 09/835,866

} Date of deposit: November 3, 2004

Filed: April 16, 2001

Title: INTEGRATED ENVIRONMENTAL
CONTROL AND MANAGEMENT
SYSTEM FOR FREE-SPACE OPTICAL
COMMUNICATION SYSTEMS

Group Art Unit: 2633

Examiner: Phan, Hanh

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INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted:

Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits, after the filing of an RCE under §1.114.

Under 37 CFR §1.97(c) together with either:
 a statement under 37 CFR §1.97(e), or
 a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Information Disclosure Statement
U.S. Appln. No. 09/835,866

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO/SB/08A and PTO/SB/08B with seven (7) references listed as items AA-AG.

Concise Explanation of Relevance

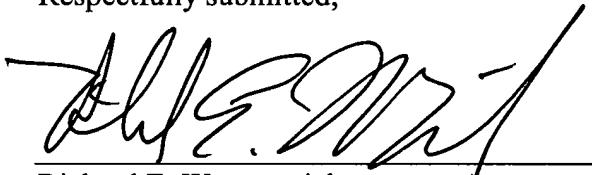
Item AF is an Australian Examiner's first report that was issued in the Australian patent application no. 2001252868, which relates and corresponds to U.S. App. Nos. 09/482,782 and 10/840,172. A copy of the claims to which the Australian Examiner's first report refers to is also included therewith. The Australian Examiner's first report refers to Items AD and AE, as well as Item AW of the IDS mailed to the USPTO on October 7, 2002.

Item AG is a U.S. "Office Action" for U.S. application no. 10/646,994, mailed August 24, 2004. A copy of the Office Action is included herewith.

It is requested that the information provided herein be made of record in this application.

Respectfully submitted,

Dated: 11/3/04



Richard E. Wawrzyniak
Attorney for Applicant(s)
Reg. No. 36,048

Address all Correspondence to:

FITCH, EVEN, TABIN & FLANNERY
120 So. LaSalle Street
Suite 1600
Chicago, IL 60603
Telephone: (858) 552-1311
Facsimile: (858) 552-0095



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known	
Application Number	09/835,866
Filing Date	April 16, 2001
First Named Inventor	Heinz Willebrand
Art Unit	2633
Examiner Name	Phan, Hanh
Attorney Docket Number	69971

U. S. PATENT DOCUMENTS

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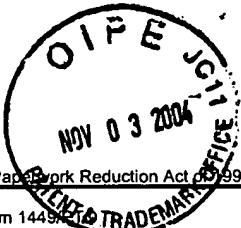
FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁸
		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)				
	AD	WO 99/05802	02-04-1999	Nan Zhang et al.		
	AE	GB 2297668 A	08-07-1996	Shingo Mizuno		

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴For

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449, TRADEMARK OFFICE

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(Use as many sheets as necessary)

Sheet

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2

Application Number

09/835,866

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Filing Date

April 16, 2001

NOV 08 2004

First Named Inventor

Heinz Willebrand

Art Unit

2633

Technology Center 2600

Examiner Name

Phan, Hanh

Sheet

2

of

2

Attorney Docket Number

69971

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AF	AUSTRALIAN PATENT OFFICE, "Examiner's first report on patent application no. 2001252868 by LightPointe Communications, Inc.", dated May 19, 2004, for Australian Application No. 2001252868 which relates and corresponds to U.S. App. Nos. 09/482,782 and 10/840,172, with copy of examined claims, 11 pages.	
	AG	UNITED STATES PATENT AND TRADEMARK OFFICE, "Office Action" for U.S. patent application no. 10/646,994, mailed August 24, 2004, 11 pages.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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